

FACTS

WHAT DOES PEAKSTONE WEALTH MANAGEMENT DO WITH YOUR PERSONAL INFORMATION?

Why?

Financial companies choose how they share your personal information. Federal and state laws give consumers the right to limit some, but not all, sharing. Federal law also requires us to tell you how we collect, share, and protect your personal information. Please read this notice carefully to understand what we do.

What?

The types of personal information we collect and share depend on the type of advisory service or product you have with us. This information can include:

- Social Security number, date of birth, income, assets, debts, and account balances
- Investment, retirement, bank, insurance, and other financial account information
- Employment, household, goals, planning priorities, cash flow, budgeting, and document information
- Compass by PeakStone, client portal, linked account, device, security, and platform usage information

How?

All financial companies need to share clients' personal information to run their everyday business. In the section below, we list the reasons financial companies can share their clients' personal information; the reasons PeakStone Wealth Management chooses to share; and whether you can limit this sharing.

Reasons we can share your personal information	Does PeakStone Wealth Management share?	Can you limit this sharing?
For our everyday business purposes— such as to process transactions, maintain your account(s), respond to court orders and legal investigations, or report to credit bureaus	Yes	No
For our marketing purposes— to offer our products and services to you	Yes	No
For joint marketing with other financial companies	No	No
For our affiliates' everyday business purposes— information about your transactions and experiences	No	No
For our affiliates' everyday business purposes— information about your creditworthiness	No	No
For our affiliates to market to you	No	No
For nonaffiliates to market to you	No	No

To limit our sharing

PeakStone Wealth Management does not share your personal information in ways that require an opt-out under federal law. You may still contact us with privacy questions or requests:

- Call: (303) 539-9077
- Email: compliance@peakstonewealth.com

Please note:

When you are no longer our client, we continue to share your information as described in this notice.

Questions?

Call (303) 539-9077 or visit peakstonewealth.com/legal/privacy-policy.

Who we are

Who is providing this notice?	This privacy notice is provided by PeakStone Wealth Management, LLC and applies to the personal information we collect, use, and share in connection with our advisory services, planning tools, workplace programs, and client relationships.
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What we do

How does PeakStone Wealth Management protect my personal information?	To protect your personal information from unauthorized access and use, we use security measures that comply with applicable federal and state law. These measures include computer safeguards and secured files, systems, and access controls.
How does PeakStone Wealth Management collect my personal information?	<p>We collect your personal information when you work with us, use Compass by PeakStone, link accounts, upload documents, request resources, participate in workplace programs, or engage in financial planning.</p> <p>We may also collect information from custodians, account aggregation providers, financial institutions, service providers, employers or plan sponsors, consumer reporting agencies, and other professionals.</p>
Why can't I limit all sharing?	<p>Federal law gives you the right to limit only</p> <ul style="list-style-type: none"> • sharing for affiliates' everyday business purposes, information about your creditworthiness • affiliates from using your information to market to you • sharing for nonaffiliates to market to you <p>State laws and individual companies may give you additional rights to limit sharing. See below for more on your rights under state law.</p>
What happens when I limit sharing for an account I hold jointly with someone else?	Your choices will apply to everyone on your account.

Definitions

Affiliates	Companies related by common ownership or control. They can be financial and nonfinancial companies. PeakStone Wealth Management is not affiliated with any company.
Nonaffiliates	Companies not related by common ownership or control. They can be financial and nonfinancial companies. PeakStone Wealth Management does not share with nonaffiliates so they can market to you.
Joint marketing	A formal agreement between nonaffiliated financial companies that together market financial products or services to you. PeakStone Wealth Management does not jointly market.

Other important information

State laws may provide additional privacy rights. Colorado residents may contact us to request access to, correction of, or information about certain personal information, subject to applicable legal exceptions. To exercise these rights or request additional information, please call (303) 539-9077, email compliance@peakstonewealth.com, or visit peakstonewealth.com/legal/privacy-policy.