

Business Continuity Summary

PeakStone Wealth Management, LLC maintains a Business Continuity Plan designed to help the Firm continue or resume critical business operations during a significant disruption.

Because disruptions can vary in scope and severity, PeakStone cannot guarantee uninterrupted service. However, the Firm seeks to protect client information, preserve books and records, restore communications, coordinate with key service providers, and resume operations as promptly as reasonably possible.

PeakStone does not maintain physical custody of client funds or securities. Client assets are held by unaffiliated custodians, recordkeepers, trustees, banks, retirement plan providers, insurance companies, or other financial institutions. If you cannot reach PeakStone during a disruption, you should contact the financial institution shown on your official account statement, portal, or plan materials for account access or servicing needs.

PeakStone may communicate during a disruption by phone, email, secure portal, website notice, text message when appropriate, video meeting, mail, or other available method. If available, PeakStone may post general continuity updates at peakstonewealth.com.

Clients should maintain current contact information with PeakStone and should also maintain direct access credentials and contact information for custodians, banks, retirement plan providers, insurance companies, and other financial institutions that hold their accounts.

For questions about PeakStone's business continuity planning, please contact us:

PeakStone Wealth Management, LLC

Phone: (303) 539-9077

Email: compliance@peakstonewealth.com

Website: peakstonewealth.com